



Please return this Compliance Confirmation (electronically) signed to proceed with your request.

Compliance Confirmation

December 2025

To whom it may concern

Consortia Management GmbH is obligated to ensure that its business activities are compliant with TRADE and FINANCIAL RESTRICTIONS. Therefore, we ask our business partners to confirm this Compliance Confirmation.

Upon signature of this Compliance Confirmation, COMPANY warrants that, neither COMPANY nor any of its affiliates, agents, employees, or officers, are designated or restricted under any trade, economic or financial sanction laws, regulations, embargoes, or restrictive measures enforced, enacted, or administered by

- a) the United States of America¹,
- b) the United Nations Security Council,
- c) the European Union including its member states,
- d) the Treasury United Kingdom or
- e) the governments and official institutions or agencies of any of the previous points, or any other relevant authority,

to the extent this does not violate applicable blocking laws, in particular Article 5 of the EU Blocking Statute and Section 7 of the German Foreign Trade and Payments Ordinance, herein referred to as "TRADE and FINANCIAL RESTRICTIONS". The COMPANY understands that these lists are subject to change and that it is within its responsibility to ensure ongoing compliance.

The COMPANY warrants that the provision of benefits that may be made available to it or its affiliates for the substance of interest as a result of any agreement closed with Consortia Management GmbH, acting on behalf of its clients, including but not limited to letters of access, technical information, cost sharing, access to data and studies, study contracting, is not part or does not in any way violate TRADE and FINANCIAL RESTRICTIONS.

The COMPANY warrants that neither it nor its affiliates, subsidiaries, directors, officials, representatives or employees will, directly or indirectly, transfer, disclose, export, re-export, sell, resell or otherwise make available at any time any of those benefits to a natural or legal person, entity or body designated or restricted under any TRADE and FINANCIAL RESTRICTIONS, nor to any destination or for any use that is restricted under TRADE and FINANCIAL RESTRICTIONS.

COMPANY shall inform Consortia Management GmbH immediately if

- a) COMPANY or one of its affiliates becomes subject to any TRADE and FINANCIAL RESTRICTIONS,
- b) the provision of benefits that may be made available to it or to its affiliates resulting from any agreement closed with Consortia Management GmbH acting on behalf of its clients, would in any way violate TRADE and FINANCIAL RESTRICTIONS, or

¹ Incl. the Specially Designated Nationals and Blocked Persons list maintained by the Office of Foreign Assets Control (OFAC) of the U.S. Department of the Treasury, the U.S. Department of Commerce, and the U.S. Department of State.



c) it becomes aware of any non-compliance with the terms of this Compliance Confirmation.

Consortia Management GmbH acting on behalf of its clients may terminate any agreement with COMPANY with immediate effect by giving written notice to COMPANY, if, in its sole opinion, the confirmation given in this document becomes invalid, or any agreement is in breach of, or its implementation may be materially impacted by any TRADE and FINANCIAL RESTRICTIONS.

COMPANY irrevocably undertakes to indemnify and hold Consortia Management GmbH, acting on behalf of its clients, its trustee, as well as their potential affiliates and their agents, employees, or officers, harmless in respect of any loss, damage, claim, fine and expense whatsoever, including legal costs and attorney's fees, which may result from any breach by COMPANY of the TRADE and FINANCIAL RESTRICTIONS and/or non-compliance with the terms of this Compliance Confirmation.

In case of an Only Representative (OR) or Third-Party Representative (TPR) under REACH² or any other chemical legislation globally, COMPANY and Principal COMPANY confirm compliance by signing this document.

The signee(s) certify that they are authorized to sign this Compliance Confirmation on behalf of COMPANY.

Signature(s):

COMPANY name

Principal COMPANY name (in case of OR or TPR)

COMPANY Address

COMPANY Address

Name of authorized representative

Name of authorized representative

Job title of authorized representative

Job title of authorized representative

Signature of authorized representative

Signature of authorized representative

Date

Date

² Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH).